

111TH CONGRESS
2D SESSION

H. R. 6086

To amend the Securities Exchange Act of 1934, the Investment Company Act of 1940, and the Investment Advisers Act of 1940 to provide for certain disclosures under section 552 of title 5, United States Code, (commonly referred to as the Freedom of Information Act), and for other purposes.

IN THE HOUSE OF REPRESENTATIVES

AUGUST 10, 2010

Mr. TOWNS introduced the following bill; which was referred to the Committee on Financial Services, and in addition to the Committee on Oversight and Government Reform, for a period to be subsequently determined by the Speaker, in each case for consideration of such provisions as fall within the jurisdiction of the committee concerned

A BILL

To amend the Securities Exchange Act of 1934, the Investment Company Act of 1940, and the Investment Advisers Act of 1940 to provide for certain disclosures under section 552 of title 5, United States Code, (commonly referred to as the Freedom of Information Act), and for other purposes.

1 *Be it enacted by the Senate and House of Representa-*
2 *tives of the United States of America in Congress assembled,*

1 **SECTION 1. APPLICATION OF THE FREEDOM OF INFORMA-**
2 **TION ACT TO CERTAIN STATUTES.**

3 (a) AMENDMENTS TO THE SECURITIES AND EX-
4 CHANGE ACT.—Section 24 of the Securities Exchange Act
5 of 1934 (15 U.S.C. 78x), as amended by section 929I(a)
6 of the Dodd-Frank Wall Street Reform and Consumer
7 Protection Act (Public Law 111–203), is amended by
8 striking subsection (e) and inserting the following:

9 “(e) FREEDOM OF INFORMATION ACT.—For pur-
10 poses of section 552(b)(8) of title 5, United States Code,
11 (commonly referred to as the Freedom of Information
12 Act)—

13 “(1) the Commission is an agency responsible
14 for the regulation or supervision of financial institu-
15 tions; and

16 “(2) any entity for which the Commission is re-
17 sponsible for regulating, supervising, or examining
18 under this title is a financial institution.”.

19 (b) AMENDMENTS TO THE INVESTMENT COMPANY
20 ACT.—Section 31 of the Investment Company Act of 1940
21 (15 U.S.C. 80a–30), as amended by section 929I(b) of the
22 Dodd-Frank Wall Street Reform and Consumer Protec-
23 tion Act (Public Law 111–203), is amended—

24 (1) by striking subsection (c); and

25 (2) by redesignating subsections (d) and (e) as
26 subsections (c) and (d), respectively.

1 (c) AMENDMENTS TO THE INVESTMENT ADVISERS
2 ACT.—Section 210 of the Investment Advisers Act of
3 1940 (15 U.S.C. 80b–10), as amended by section 929I(c)
4 of the Dodd-Frank Wall Street Reform and Consumer
5 Protection Act (Public Law 111–203), is amended by
6 striking subsection (d).

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